



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Mikio TANAKA, et al.

**Examiner:** Unassigned

**Serial No.:** 09/819,860

**Group Art Unit:** 2133

**Filed:** March 28, 2001

**Docket:** 14457

**For:** MEMORY LSI FAILURE ANALYSIS  
APPARATUS AND ANALYSIS  
METHOD THEREOF

**Dated:** May 21, 2003

**Commissioner for Patents**  
**P. O. Box 1450**  
**Alexandria, VA 22313-1450**

**RECEIVED**

**MAY 28 2003**

**Technology Center 2100**

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent 5,530,805 dated June 25, 1996 to Tanabe; and
2. U.S. Patent 5,831,989 dated November 3, 1998 to Fujisaki.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on May 21, 2003.

**Dated:** May 21, 2003


  
Michelle Mustafa

The references were cited in an Official Action dated February 11, 2003, received from the Taiwanese Patent Office. A copy of the Taiwanese Office Action is enclosed together with a translation thereof into Japanese. Applicants are submitting copies of the above-cited references, along with a translation of the Examiner's comments regarding the references from the Office Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

  
Paul J. Esatto, Jr.  
Registration No. 30,749

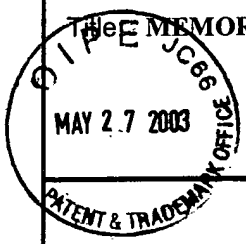
Scully, Scott, Murphy & Presser  
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Garden City, New York 11530  
(516) 742-4343  
PJE:ae

2133 #4  
BT

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 14457
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In Re Application Of: **Mikio Tanaka, et al.**

Serial No. 09/819,860	Filing Date 3/28/01	Examiner unassigned	Group Art Unit 2133
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**THE MEMORY LSI FAILURE ANALYSIS APPARATUS AND ANALYSIS METHOD THEREOF**

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MAY 28 2003

Address to:  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14457

In Re Application: Mikio Tanaka, et al.

Serial No.  
09/819,860

Filing Date  
3/28/01

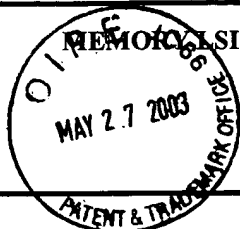
Examiner  
unassigned

Group Art Unit  
2133

MEMORANDUM FOR THE DIRECTOR  
SI FAILURE ANALYSIS APPARATUS AND ANALYSIS METHOD THEREOF

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MAY 28 2003



**Payment of Fee**

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Mishelle Mustafa

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Dated: May 21, 2003

Signature

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CC: